

Regulations of Degree Granting Procedures of

Tongji University

| Issued by: | The Academic | Degree | Administration | Office |
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Chapter 1: General Provisions

Article 1. The regulation is made according to "Regulations of Academic Degrees in the People's Republic of China", "Implementation Rules for Provisional Regulations of Academic Degrees in the People's Republic of China" and the actual circumstance of Tongji University.

Article 2. Bachelor, master and doctor degree of different disciplines are offered by Tongji University according to relevant state's provisions.

Article 3. According to Article 2 of "Regulations of Academic Degrees in the People's Republic of China", citizens of People's Republic of China, who abide by the constitution, laws, regulations, academic ethics and have achieved the school's academic level, can apply for doctor degree.

Article 4. According to Article 15 of "Regulations of Academic Degrees in the People's Republic of China", foreign students and foreign scholars, who study in Tongji University and have achieved the school's academic level, can apply for relevant degree.

Chapter 2: Bachelor's Degree

Omitted.

Chapter 3: Master's Degree

Omitted.

Chapter 4: Doctor Degree

Article 13. A PhD candidate, who meets the requirements of **Article 3** and **Article 4**, has finished the curriculum examination and thesis defence with qualified and effective results, and medts "Published academic papers requirements for Master and PhD applicants of Tongji University", can apply for a doctoral degree if he/she satisfied the following academic standards:

(1) Demonstrated possession of broad basic theory, systematic professional knowledge, relevant skills and techniques.

- (2) Ability to undertake creative research and practical work in his/her discipline independently.
- (3) Creative achievements in science or in a special technology.

Article 14. Thesis requirements:

- (1) Systematic, integrity, academic and creative. The basic argument, conclusions and recommendations should have great academic value or contribute to the development of economy, culture and society theoretically or practically.
- (2) Complete the doctoral thesis independently under the guidance of doctoral supervisor. The time period on dissertation should be generally no less than two years.
- (3) Meet the ethical requirements of academic dissertation.
- (4) Thesis is generally written in Chinese. Thesis of a international student supported by COTUTELLE AGREEMENT, can be written in English, French, or German. Abstract of the thesis is no less than 5000 Chinese words. For other international doctoral students, only English abstract of the thesis is required

Article 15. Thesis review and organization of PhD thesis defence:

(1) After a PhD candidate applies for thesis defence, the thesis defence committee is organized by degree evaluation committee. The committee consists of 5-7 eligible members. The majority of the members should be professors or experts with doctoral tutor qualification in relevant field. The committee should contain 2-3 external peer experts, at least one of whom has doctoral tutorship. The committee should contain at least 6 members, if the candidate's supervisor is one of the members.

Chairman of the PhD thesis defence committee should be professor and doctoral tutor who is not the candidate's supervisor.

Thesis defence committee contains a secretary from Tongji University with intermediate or above titles (graduate students are excluded).

(2) The members of the PhD thesis defence should be approved by the chairman or vice chairman of degree evaluation committee.

(3) The Secretary of the PhD thesis defence committee is responsible for the arrangement of review and PhD thesis defence. Thesis submission for review should be finished before PhD thesis defence. The Secretary shall assist the Chair with arrangement, and document the defence. The candidate is not directly involved in thesis submission for review and defence

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organization.

- Article 16. PhD thesis review program:
 - (1) A pre-review and corresponding revision of the thesis should be conducted. A detailed review comments should be written by the supervisor upon the revised thesis.
 - (2) PhD thesis should be sent to 5-7 internal and external peer reviewers 45 days before PhD thesis defence. Reviewers should be professors or experts with doctoral tutor qualification in relevant field, including at least three external peer experts and at least two experts from Tongji University. Reviewers contain at least four doctoral tutors (two external and two internal experts).

Applicants' supervisor should not be one of the peer reviewers.

Once reviewed thesis is received, peer reviewers should reply detailed review comments within 30 days.

Peer reviewers' information should be confidential and the candidate should not participate in the thesis review program.

- (3) The PhD thesis defence is not allow unless the thesis has passed the thesis review process.
- (4) The anonymous review is conducted by Tongji University. Unqualified thesis is subject to the relevant provisions.

Article 17. PhD thesis defence program:

- (1) A PhD thesis defence should be conducted in accordance with "Educational Provision of PhD students in Tongji University". The defence should normally be open to public.
- (2) The PhD thesis Defence Committee adoptes secret ballot on decision of doctor degree. A candidate is recommended to award doctor degree upon 2/3 agreed votes or above. A candidate, who receives 1/2 or above but below 2/3 agreed votes, can modify thesis within two years and apply for another thesis defence. A candidate, who receives less than 1/2 agreed votes, is recommended to decline doctor degree. Results of thesis defence signed by the chairman of the defence committee should be submitted to the Academic Degree Evaluation Committee of school.
- (3) For the second try of thesis defence, the doctor degree should not be awarded unless the candidate receives 2/3 agreed votes or above.
- (4) When the thesis defence committee agrees that the thesis has not reached the doctoral level but has reached the level of master degree, and the applicant has not

previously received master's degree, the committee can recommend the award of the master's degree, but cannot recommenda second defence after revision in the meantime.

- (5) The abstract or the full PhD thesis that has passed the defence should be open to public (except for the confidential thesis).
- Article 18. Consideration and conferring of doctor degree:
 - (1) A PhD candidate should submit relevant materials to the faculty after thesis defence committee recommend to award doctor degree.
 - (2) The faculty should complete examination and make a final decision on conferring of doctoral degree within 30 days after the faculty receives the materials. The faculty should notify the candidate in written document providing reliable reasons if the application has been rejected.
 - (3) The faculty should submit the approved materials to the Academic Degree Evaluation Committee of each school for applying for doctor degree.
 - (4) The Academic Degree Evaluation Committee of each school should consider the application for doctor degree within a maximum of two years after the candidate receives the recommendation for doctor degree.
 - (5) The Academic Degree Evaluation Committee of each school should consider, vote, and make recommendations on the decision of degree award in accordance with Article 13, 23, 24
 - (6) The Academic Degree Administration Office of Tongji University evaluates and organizes the materials submitted by the Academic Degree Evaluation Committee of each school, and then submits the materials to the Academic Degree Evaluation Committee of Tongji University for consideration.
 - (7) The list of awarded doctorates should be publicized for 30 days by the Academic Degree Evaluation Committee of school. If there are any objections, the Academic Degree Administration Office of Tongji University should organize re-examination and provide recommendations to the Academic Degree Evaluation Committee of school.
 - (8) The Academic Degree Administration Office of Tongji University should vote on applying for degrees and make a decision on degree award in accordance with Article 21, 24, combined with the Academic Degree Evaluation Committee of school's recommendations.
 - (9) The candidate can apply for doctor degree for a second time within two years, if the Academic Degree Evaluation Committee of Tongji University rejects his/her first application.

Chapter 5: Honorary Doctoral Degree

Omitted.

Chapter 6: Academic Degree Evaluation Committee of Tongji University Academic Degree Evaluation Committee of School Discipline Committee of Tongji University

Omitted.

Chapter 7: Objection Handling

Omitted.

Chapter 8: Other Terms

Omitted.